

<u>Country/PTO</u>	<u>Document</u>	<u>Patentee</u>	<u>Date</u>
United States	2,145,731	Minor	1/31/39
United States	3,773,879	Munsil et al.	11/20/73
United States	3,888,618	Jones	6/10/75
United States	4,666,544	Whiteside	5/19/87
United States	5,389,188	Hicks	2/14/95
United States	5,674,302	Nakayama et al.	10/7/97
United States	5,720,790	Kometani et al.	2/24/98
United States	5,830,311	Braun et al.	11/3/98
United States	5,855,784	Pike, et al.	1/5/99
United States	5,993,580	Nakayama et al.	11/30/99
United States	6,090,731	Pike, et al.	7/18/00

Applicant wishes to bring the documents listed on the attached Form PTO-1449 to the attention of the Examiner in the above-captioned application for U.S. Letters Patent. The Examiner is invited to review the full disclosure of these documents for his or her own independent evaluation of their pertinence to the invention claimed. A copy of each of the referenced documents is enclosed herewith, along with a copy of Form PTO-1449.

Applicant requests that these documents be included in the record of this application.

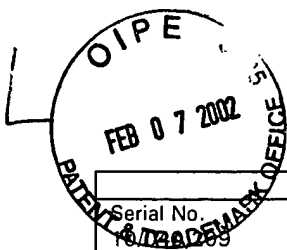
Respectfully submitted,

A handwritten signature in cursive script, reading "Bradley G. Lane", is written over a horizontal line.

Bradley G. Lane
Registration No. 33,411
Attorney for Applicant

BRINKS HOFER GILSON & LIONE
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CHICAGO, ILLINOIS 60610
(312) 321-4200

Dated: January 25, 2002



#4

0300

TRANSMITTAL LETTER			Case No. 396/350
Serial No. 6/17/2001	Filing Date October 26, 2001	Examiner	Group Art Unit
Inventor(s) Edward Kandel			
Title of Invention Process For Combined Pleating Of Filter Media And Molding Of Filter Assembly			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Information Disclosure Statement; PTO-1449; cited references.

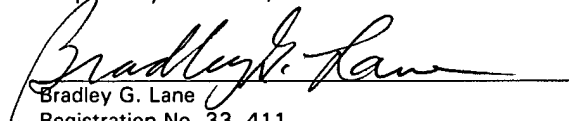
- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a _____ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity		or	Other Than Small Entity	
Rate	Add'l Fee		Rate	Add'l Fee
x \$9 =			x \$18 =	
x 42 =			x \$84 =	
+ \$140 =			+ \$280 =	
Total add'l fee	\$		Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing-fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

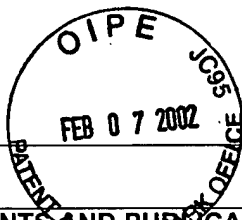
Respectfully submitted,


Bradley G. Lane
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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on January 25, 2002.

Date: 1/25/02 Signature: 



FORM PTO-1449	SERIAL NO. 10/040,209	CASE NO. 396/350
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE October 26, 2001	GROUP ART UNIT
(use several sheets if necessary)		APPLICANT(S): Kandel

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	2,145,731	1/31/39	Minor		
	A2	3,773,879	11/20/73	Munsil et al.		
	A3	3,888,618	6/10/75	Jones		
	A4	4,666,544	5/19/87	Whiteside et al.		
	A5	5,389,188	2/14/95	Hicks		
	A6	5,674,302	10/7/97	Nakayama et al.		
	A7	5,720,790	2/24/98	Kometani et al.		
	A8	5,830,311	11/3/98	Braun et al.		
	A9	5,855,784	1/5/99	Pike et al.		
	A10	5,993,580	11/30/99	Nakayama et al.		
	A11	6,090,731	7/18/00	Pike et al.		
	A12					
	A13					

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES	NO
	A14						
	A15						
	A16						
	A17						
	A18						

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)
	A19
	A20
	A21
	A22
	A23
	A24

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.